

New veto rules for sorting models

Preference modeling and learning

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- 1 Introductory example
- 2 MR-Sort with veto
- 3 Literature review
- 4 New veto rules
- 5 Learning MR-Sort model with coalitional vetoes
- 6 Conclusion

- 1 **Introductory example**
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Introductory example

Application

- ▶ Acceptation / Refusal of students on basis of their results

Context

- ▶ Students evaluated in 10 courses ;
- ▶ Each course has a given number of credits (ECTS) ;
- ▶ Each student is assigned in *Accepted* or *Refused*.

Conditions to be accepted

- ▶ Marks above or equal to 12/20 on at least 23 (/30) ECTS ;
- ▶ All marks at least equal to 9 with possibly one exception below 9.

Introductory example

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- ▶ All marks at least equal to 9 with possibly one exception.

	math	physics	chemistry	biology	finance	law	management	computer sc.	sociology	marketing	accepted/refused
ECTS	4	4	4	3	3	3	3	2	2	2	
James	13	17	15	18	17	15	19	18	14	15	A
John	11	11	17	16	18	18	10	16	18	13	R
Michael	17	18	14	17	12	14	17	18	16	8	A
Robert	18	17	19	12	8	15	15	19	19	8	R

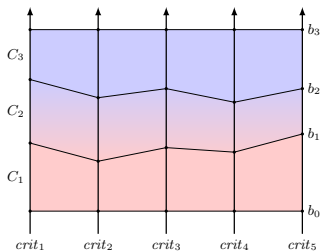
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MR-Sort with veto

Principles

- ▶ Simplified version of ELECTRE TRI (no indifference and preference thresholds);
- ▶ Based on the concordance/discordance principle;
- ▶ Comparison of alternatives to fixed profiles.

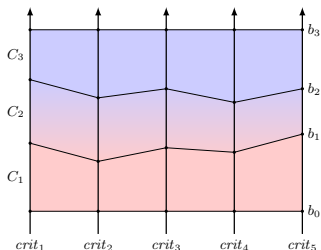
Parameters



- ▶ Profiles' performances ($b_{h,j}$ for $h = 1, \dots, p - 1; j = 1, \dots, n$)
- ▶ Criteria weights ($w_j \geq 0$ for $n = 1, \dots, n$)
- ▶ Majority threshold (λ)
- ▶ Veto thresholds ($v_{h,j} \geq 0$ for $h = 1, \dots, p - 1; j = 1, \dots, n$)

MR-Sort with veto

Parameters



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- ▶ Criteria weights ($w_j \geq 0$ for $n = 1, \dots, n$)
- ▶ Majority threshold (λ)
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Assignment rule

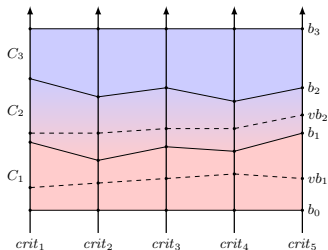
$$a \in C_h \iff a \succsim b_{h-1} \text{ and } \neg a \succsim b_h$$

$$a \succsim b_k \iff \sum_{j: a_j \geq b_{k,j}} w_j \geq \lambda \text{ and } \neg a \forall b_k$$

$$a \forall b_k \iff \exists j : a_j < b_{k,j} - v_{k,j}$$

MR-Sort with veto

Parameters



- ▶ Profiles' performances ($b_{h,j}$ for $h = 1, \dots, p - 1; j = 1, \dots, n$)
- ▶ Criteria weights ($w_j \geq 0$ for $n = 1, \dots, n$)
- ▶ Majority threshold (λ)
- ▶ Veto profiles ($vb_{h,j} \geq 0$ for $h = 1, \dots, p - 1; j = 1, \dots, n$)

Assignment rule

$$a \in C_h \iff a \succsim b_{h-1} \text{ and } \neg a \succsim b_h$$

$$a \succsim b_k \iff \sum_{j: a_j \geq b_{k,j}} w_j \geq \lambda \text{ and } \neg a \forall b_k$$

$$a \forall b_k \iff \exists j : a_j < vb_{k,j}$$

MR-Sort with veto applied to the example

Conditions to be accepted

- ▶ Marks above or equal to 12/20 on at least 23 (/30) ECTS;
- ▶ All marks at least equal to 9 with possibly one exception.

	math	physics	chemistry	biology	finance	law	management	computer	sociology	marketing	accepted/refused	model
ECTS	4	4	4	3	3	3	3	2	2	2		
James	13	17	15	18	17	15	19	18	14	15	A	A
John	11	11	17	16	18	18	10	16	18	13	R	R
Michael	17	18	14	17	12	14	17	18	16	8	A	R
Robert	18	17	19	12	8	15	15	19	19	8	R	R
w_j	4	4	4	3	3	3	3	2	2	2	$\sum = 30$	
$b_{1,j}$	12	12	12	12	12	12	12	12	12	12	$\lambda = 23$	
$vb_{1,j}$	9	9	9	9	9	9	9	9	9	9		

Limitations of MR-Sort with veto

Conditions to be accepted

- ▶ Mark above or equal to 12/20 on at least 23 (/30) ECTS ;
⇒ Can be modeled using MR-Sort with veto
- ▶ No mark below 9/20.
⇒ Can be modeled using MR-Sort with veto

Limitations of MR-Sort with veto

Conditions to be accepted

- ▶ Mark above or equal to 12/20 on at least 23 (/30) ECTS ;
⇒ Can be modeled using MR-Sort with veto
- ▶ No mark below 9/20.
⇒ Can be modeled using MR-Sort with veto
- ▶ **All marks at least equal to 9 with possibly one exception**
⇒ **Can't be modeled with MR-Sort with veto**

Limitations of MR-Sort with veto

Conditions to be accepted

- ▶ Mark above or equal to 12/20 on at least 23 (/30) ECTS ;
⇒ Can be modeled using MR-Sort with veto
- ▶ No mark below 9/20.
⇒ Can be modeled using MR-Sort with veto
- ▶ **All marks at least equal to 9 with possibly one exception**
⇒ **Can't be modeled with MR-Sort with veto**

⇒ **We propose to enrich the veto definition**

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Literature review - Veto

- ▶ ELECTRE TRI [Yu, 1992] method allows to take partial veto effect into account through the credibility index.
When $a_j \leq b_{h,j} - v_{h,j}$, the assertion $a \succsim b_h$ can not hold.
- ▶ [Roy and Słowiński, 2008] proposed a new definition of ELECTRE TRI credibility index.
It allows for "counter-veto effects" : the veto effect on some criterion is reduced when a difference in favor on an other criterion passes a counter-veto threshold.
- ▶ Other articles dealing with vetoes :
[Perny and Roy, 1992, Perny, 1998, Fortemps and Słowiński, 2002, Bouyssou and Pirlot, 2009, Öztürk and Tsoukiàs, 2007].

Literature review - Parameters learning

- ▶ Several articles deal with learning of ELECTRE TRI parameters : [Mousseau and Słowiński, 1998, Mousseau et al., 2001, Ngo The and Mousseau, 2002, Dias et al., 2002, Dias and Mousseau, 2006].
- ▶ [Leroy et al., 2011] describe a Mixed Integer Program to learn the parameters of an MR-Sort model (without veto) on basis of assignment examples.
- ▶ [Sobrie et al., 2013] describe a metaheuristic allowing learn MR-Sort models (without veto) from large sets of assignment examples.

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New veto rules

MR-Sort with veto

$$a \in C_h \iff a \succsim b_{h-1} \text{ and } \neg a \succsim b_h$$

$$a \succsim b_k \iff \sum_{j: a_j \geq b_{k,j}} w_j \geq \lambda \text{ and } \neg a V b_k$$

$$a V b_k \iff \exists j : a_j < v b_{k,j}$$

New veto rule : Coalitional veto

$$a V_c b_k \iff \sum_{j: a_j < v b_{k,j}} z_j \geq \Lambda$$

- ▶ Veto profiles : $v b_{k,j} = b_{k,j} - v_{k,j}$ for $k = 1, \dots, p - 1; j = 1, \dots, n$;
- ▶ Veto weights ($z_j \geq 0$ for $j = 1, \dots, n$ s.t. $\sum_{j=1}^n z_j = 1$);
- ▶ Veto threshold (Λ).

Coalition veto - Consistency conditions

- ▶ For each profile b_h , the associated veto profile vb_h should be lower than b_h .
- ▶ Veto dominance : An alternative in veto with respect to the profile b_{h-1} should also be in veto w.r.t. profile b_h and all profiles above b_h

Veto dominance is guaranteed if $vb_{h,j} \geq vb_{h-1,j}, \forall h, \forall j$

New veto rules - Particular cases

General form of the new veto rule

$$aVb_k \iff \sum_{j:a_j < vb_{k,j}} z_j \geq \Lambda$$

Variant 1 : Equal veto weights

$$aVb_k \iff \sum_{j:a_j < vb_{k,j}} \frac{1}{n} \geq \Lambda$$

Variant 2 : veto weights = concordance weights

$$aVb_k \iff \sum_{j:a_j < vb_{k,j}} w_j \geq \Lambda$$

MR-Sort with coalitional veto : example

Conditions to be accepted

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Michael	17	18	14	17	12	14	17	18	16	8	A	A
Robert	18	17	19	12	8	15	15	19	19	8	R	R
w_j	4	4	4	3	3	3	3	2	2	2	$\sum = 30$	
$b_{1,j}$	12	12	12	12	12	12	12	12	12	12	$\lambda = 23$	
$z_{1,j}$	1	1	1	1	1	1	1	1	1	1	$\sum = 10$	
$vb_{1,j}$	9	9	9	9	9	9	9	9	9	9	$\wedge = 2$	

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Learning MR-Sort model with coalitional vetoes

Input

- ▶ Example of assignments and their performances

Objective functions

1. Maximize number of alternatives compatible with the model
2. Minimize the number of vetoes

Number of parameters to learn

(n : number of criteria ; p : number of categories)

- ▶ MR-Sort without veto : $np + 1$
- ▶ MR-Sort with standard veto : $2np - n + 1$
- ▶ MR-Sort with new veto rule : $2np + 2$

Method

- ▶ All model parameters are learned at the same time
- ▶ Mixed Integer Programming

Linear programming - Constraints modeling

Condition to assign an alternative a in category C_h

$$a \in C_h \iff \begin{cases} \sum_{j:a_j \geq b_{h-1,j}} w_j \geq \lambda & \text{and } \sum_{j:a_j \leq vb_{h-1,j}} z_j < \Lambda \\ \sum_{j:a_j \geq b_{h,j}} w_j < \lambda & \text{or } \sum_{j:a_j \leq vb_{h,j}} z_j \geq \Lambda \end{cases}$$

Linear programming - Constraints modeling

Condition to assign an alternative a in category C_h

$$a \in C_h \iff \begin{cases} \sum_{j=1}^n c_{a,j}^{h-1} \geq \lambda & \text{and } \sum_{j=1}^n \mu_{a,j}^{h-1} < \Lambda \\ \sum_{j=1}^n c_{a,j}^h < \lambda & \text{or } \sum_{j=1}^n \mu_{a,j}^h \geq \Lambda \end{cases}$$

with $c_{a,j}^l$ and $\mu_{a,j}^l$ for $l = h - 1, h$ such that :

$$c_{a,j}^l = \begin{cases} w_j & \text{if } a_j \geq b_{l,j} \\ 0 & \text{if } a_j < b_{l,j} \end{cases}$$

$$\mu_{a,j}^l = \begin{cases} z_j & \text{if } a_j \leq b_{l,j} - v_{l,j} \\ 0 & \text{if } a_j > b_{l,j} - v_{l,j} \end{cases}$$

Linear programming - Constraints modeling

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To linearize these constraints, we introduce binary variables :

$$\delta_{a,j}^l = \begin{cases} 1 & \text{if } a_j \geq b_{l,j} \\ 0 & \text{if } a_j < b_{l,j} \end{cases} \quad \nu_{a,j}^l = \begin{cases} 1 & \text{if } a_j \leq b_{l,j} - v_{l,j} \\ 0 & \text{if } a_j > b_{l,j} - v_{l,j} \end{cases}$$

$$\begin{cases} a_j - b_{l,j} < M\delta_{a,j}^l \\ a_j - b_{l,j} \geq M(\delta_{a,j}^l - 1) \end{cases} \quad \begin{cases} a_j - b_{l,j} + v_{l,j} > -M\nu_{a,j}^l \\ a_j - b_{l,j} + v_{l,j} \leq M(1 - \nu_{a,j}^l) \end{cases}$$

Linear programming - Constraints modeling

Condition to assign an alternative a in category C_h

$$a \in C_h \iff \begin{cases} \sum_{j=1}^n c_{a,j}^{h-1} \geq \lambda & \text{and } \sum_{j=1}^n \mu_{a,j}^{h-1} < \Lambda \\ \sum_{j=1}^n c_{a,j}^h < \lambda & \text{or } \sum_{j=1}^n \mu_{a,j}^h \geq \Lambda \end{cases}$$

with $c_{a,j}^l$ and $\mu_{a,j}^l$ for $l = h - 1, h$ such that :

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$$\begin{cases} c_{a,j}^l \leq \delta_{a,j}^l \\ c_{a,j}^l \leq w_j \\ c_{a,j}^l \geq \delta_{a,j}^l - 1 + w_j \end{cases} \quad \begin{cases} \mu_{a,j}^l \leq \nu_{a,j}^l \\ \mu_{a,j}^l \leq z_j \\ \mu_{a,j}^l \geq \delta_{a,j}^l - 1 + z_j \end{cases}$$

Linear programming - Constraint modeling

Objective function

1. Maximize number of alternatives compatible with the model
2. Minimize the number of vetoes

We introduce new binary variables :

$$\gamma_a = \begin{cases} 1 & \text{if } a \text{ is assigned in the right category} \\ 0 & \text{if } a \text{ is assigned in a wrong category} \end{cases}$$

$$\omega_a^l = \begin{cases} 1 & \text{if veto applies for alternative } a \text{ against profile } l \\ 0 & \text{otherwise} \end{cases}$$

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$$\omega_a^l = \begin{cases} 1 & \text{if } \sum_{j=1}^n \mu_{a,j}^l \geq \Lambda \\ 0 & \text{if } \sum_{j=1}^n \mu_{a,j}^l < \Lambda \end{cases} \Rightarrow \begin{cases} \sum_{j=1}^n \mu_{a,j} - \Lambda \geq M(\omega_a^h - 1) \\ \sum_{j=1}^n \mu_{a,j} - \Lambda < M\omega_a^h \end{cases}$$

Linear programming - Constraint modeling

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Finally :

$$a \in C_h \iff \begin{cases} \sum_{j=1}^n c_{a,j}^{h-1} - \omega_a^{h-1} \geq \lambda + M(\gamma_a - 1) \\ \sum_{j=1}^n c_{a,j}^h - \omega_a^h < \lambda - M(\gamma_a - 1) \end{cases}$$

$$\max \sum_{a \in A} \gamma_a - \frac{1}{2|a \in A \setminus A_1|} \sum_{a \in A \setminus A_1} \omega_a^{h-1} - \frac{1}{2|a \in A \setminus A_p|} \sum_{a \in A \setminus A_p} \omega_a^h \quad (1)$$

Linear programming - MIP

$$\max \sum_{a \in A} \gamma_a - \frac{1}{2|a \in A \setminus A_1|} \sum_{a \in A \setminus A_1} \omega_a^{h-1} - \frac{1}{2|a \in A \setminus A_p|} \sum_{a \in A \setminus A_p} \omega_a^h$$

$$\left\{ \begin{array}{ll} \sum_{j=1}^n c_{a,j}^{h-1} - \omega_a^{h-1} \geq \lambda + M(\gamma_a - 1) & \forall a \in A_h, \forall h \in H \\ \sum_{j=1}^n c_{a,j}^h - \omega_a^h < \lambda - M(\gamma_a - 1) & \forall a \in A_h, \forall h \in H \\ a_j - b_{l,j} < M\delta_{a,j}^l & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ a_j - b_{l,j} \geq M(\delta_{a,j}^l - 1) & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ a_j - b_{l,j} + v_{l,j} > -M\nu_{a,j}^l & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ a_j - b_{l,j} + v_{l,j} \leq M(1 - \nu_{a,j}^l) & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ c_{a,j}^l \leq \delta_{a,j}^l & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ c_{a,j}^l \leq w_j & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ c_{a,j}^l \geq \delta_{a,j}^l - 1 + w_j & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ \mu_{a,j}^l \leq \nu_{a,j}^l & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ \mu_{a,j}^l \leq z_j & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ \mu_{a,j}^l \geq \nu_{a,j}^l - 1 + z_j & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\}, \forall j \in F \\ \sum_{j=1}^n \mu_{a,j}^l - \Lambda \geq M(\omega_a^l - 1) & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\} \\ \sum_{j=1}^n \mu_{a,j}^l - \Lambda < M\omega_a^l & \forall a \in A_h, \forall h \in H, l = \{h-1, h\} \setminus \{0, p\} \\ \sum_{j=1}^n w_j & = 1 \\ \sum_{j=1}^n z_j & = 1 \\ b_{h,j} & \geq b_{h-1,j} \quad h = \{2, \dots, p-1\}, \forall j \in J \\ b_{h,j} - v_{h,j} & \geq b_{h-1,j} - v_{h-1,j} \quad h = \{2, \dots, p-1\}, \forall j \in J \end{array} \right.$$

Experimentation - Settings

Learning set

- ▶ Students are evaluated on 5 criteria and assigned either in category *accepted* or *refused*.
- ▶ Marks and assignments of students are constructed such that a standard MR-Sort model (i.e. without veto) cannot restore the assignments.
- ▶ To be accepted, a student should :
 - ▶ have at least 10/20 in 3 of the 5 courses ;
 - ▶ all marks at least equal to 8 with possibly one exception below 8.

Experimentation - Settings

Learning set

- ▶ To be accepted, a student should :
 - ▶ have at least 10/20 in 3 of the 5 courses ;
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	1	2	3	4	5		1	2	3	4	5	
St. 1	9	9	9	9	11	refused	St. 23	11	9	11	11	accepted
St. 2	9	9	9	11	9	refused	St. 24	11	11	9	9	refused
St. 3	9	9	9	11	11	refused	St. 25	11	11	9	9	accepted
St. 4	9	9	11	9	9	refused	St. 26	11	11	9	11	accepted
St. 5	9	9	11	9	11	refused	St. 27	11	11	9	11	accepted
St. 6	9	9	11	11	9	refused	St. 28	11	11	11	9	accepted
St. 7	9	9	11	11	11	accepted	St. 29	11	11	11	9	accepted
St. 8	9	11	9	9	9	refused	St. 30	11	11	11	11	accepted
St. 9	9	11	9	9	11	refused	St. 31	11	11	11	11	accepted
St. 10	9	11	9	11	9	refused	St. 32	11	11	11	7	accepted
St. 11	9	11	9	11	11	accepted	St. 33	11	11	7	11	accepted
St. 12	9	11	11	9	9	refused	St. 34	11	7	11	11	accepted
St. 13	9	11	11	9	11	accepted	St. 35	7	11	11	11	accepted
St. 14	9	11	11	11	9	accepted	St. 36	11	11	11	7	refused
St. 15	9	11	11	11	11	accepted	St. 37	11	11	7	11	refused
St. 16	11	9	9	9	9	refused	St. 38	11	7	11	11	refused
St. 17	11	9	9	9	11	refused	St. 39	7	11	11	11	refused
St. 18	11	9	9	11	9	refused	St. 40	11	11	7	7	refused
St. 19	11	9	9	11	11	accepted	St. 41	11	7	11	7	refused
St. 20	11	9	11	9	9	refused	St. 42	7	11	11	7	refused
St. 21	11	9	11	9	11	accepted	St. 43	11	7	7	11	refused
St. 22	11	9	11	11	9	accepted	St. 44	7	11	7	11	refused

Experimentation - Results

- ▶ MIP is able to restore all assignments without errors
- ▶ Parameters of the model found :

	1	2	3	4	5
w_j	0.2	0.2	0.2	0.2	0.2
z_j	0.2	0.2	0.2	0.2	0.2
λ			0.6		
Λ			0.4		
$b_{1,j}$	9.0001	9.0001	9.0001	11.0000	9.0001
$vb_{1,j}$	8.9999	8.9999	7.0000	8.9999	7.0000

- ▶ Concordance profiles are located in the interval [9.0001, 11]
- ▶ Veto profiles are located in the interval [7, 8.9999]
- ▶ MR-Sort without veto restores 86% of the examples





- 1 Introductory example
- 2 MR-Sort with veto
- 3 Literature review
- 4 New veto rules
- 5 Learning MR-Sort model with coalitional vetoes
- 6 Conclusion**

Conclusion

- ▶ We have shown that it can be at advantage to use coalitional vetoes to model preferences
- ▶ Further steps :
 - ▶ Test more extensively MR-Sort with standard veto versus with coalitional veto
 - ▶ Design an algorithm to learn MR-Sort model with veto from large sets of examples
 - ▶ Axiomatic

Gracias por su atención !

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